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Group approach to quantization of Yang–Mills theories: a cohomological origin of mass

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Abstract. New clues for the best understanding of the nature of the symmetry-breaking mechanism are revealed in this paper. A revision of the standard gauge transformation properties of Yang–Mills fields, according to a group approach to the quantization scheme, enables the gauge group coordinates to acquire dynamical content outside the null mass shell. The corresponding extra (internal) field degrees of freedom are transferred to the vector potentials to conform massive vector bosons.

1. Introduction

Despite the undoubted success of the standard model in describing strong and electro-weak interactions, a *real* (versus artificial) mechanism of mass generation is still lacking. Needless to say that the discovery of a Higgs boson—a quantum vibration of an *abnormal* (Higgs) vacuum—would be of enormous importance; nevertheless, at present, no dynamical basis for the Higgs mechanism exists, and it is purely phenomenological. It is true that there is actually nothing inherently unreasonable in the idea that the state of minimum energy $|\tilde{0}\rangle$ (the vacuum) may be one in which some field quantity $\hat{\varphi}(x)$ has a non-zero expectation value $\langle\tilde{0}|\hat{\varphi}(x)|\tilde{0}\rangle = \varphi_0$; in fact, many examples in condensed-matter physics display this feature. Nevertheless, it remains conjectural whether something similar actually happens in the weak interaction case.

Also, the *ad hoc* introduction of extra (Higgs) scalar fields in the theory to provide mass to the vector bosons could be seen as our modern equivalent of those earlier mechanical contrivances populating the *plenum* (the ether), albeit very subtly. As in those days, new perspectives are necessary to explain why it is really not indispensable to look at things in this way at all.

This paper provides a new approach to quantum Yang–Mills theories, from a group-theoretic perspective, in which mass enters the theory in a *natural* way; more precisely, the presence of mass will manifest through non-trivial transformations of the phase $\zeta = e^{i\alpha}$ of the wavefunctional $\Psi(A)$ under the action of gauge transformations. This non-trivial response of the phase under gauge transformations causes a *deformation* of the corresponding Lie-algebra commutators and leads to the appearance of central terms proportional to mass parameters and,

consequently, to a quantum generation of extra (internal) field degrees of freedom according to a self-interacting theory of massless and massive vector bosons (without Higgs fields).

This *cohomological* mechanism of mass generation makes perfect sense from a group approach to quantization (GAQ [1]) framework, and we shall use its concepts and tools to work out the quantization of Yang–Mills theories. Given that this is not a common approach to quantization, we shall give useful references and try to be as self-contained as possible (the reader is advised to have a look at [2], which contains a general presentation of GAQ for linear fields). Quantizing on a group, however, will require the revision of some standard concepts, such as *gauge transformations*, in order to deal with them properly. The meaning of gauge transformations in quantum mechanics is not well understood at present (see, for example, [3]); thus, a re-examination of it is timely.

Gauge symmetry is always a guarantee of the renormalizability of a field theory. The introduction of mass usually spoils gauge invariance, but the Higgs mechanism manages to preserve renormalizability by keeping gauge invariance in a *hidden* way, and this is the main novelty in comparison with other attempts to supply mass. However, we must say that the breakdown of a gauge symmetry and the appearance of anomalous (unexpected) situations are sometimes subtle questions which generally go with the standard approach of *quantizing classical systems*. From a group-theoretic framework, any consistent (non-perturbative) quantization is just a unitary irreducible representation of a suitable (Lie, Poisson) algebra. This approach does not assume the existence of a previous classical underlying system and overcomes some of the standard failures in quantization (anomalies) attached to canonical quantization, reinterpreting them as normal (even essential) situations.

A unified quantization of massless and massive non-Abelian vector bosons will be presented in sections 3 and 4, respectively; the Abelian case (electromagnetic and Proca fields) is discussed briefly in section 2. The Hilbert space of the theory is related to the carrier space of the unitary irreducible representations of an infinite-dimensional *quantizing group* \tilde{G} , the mass eventually being a parameter characterizing the representation. Section 5 is devoted to the incorporation of fermionic matter into the theory. Finally, we conclude in section 6 and incorporate an appendix with a simple, but illustrative, finite-dimensional analogy.

2. The Abelian case

In a previous article [4], a revision of the traditional concept of gauge transformation for the electromagnetic vector potential,

$$\varphi(x) \rightarrow \varphi'(x) + \varphi(x) \quad A_\mu(x) \rightarrow A_\mu(x) - \partial_\mu \varphi'(x) \quad (1)$$

was necessary to arrange this transformation inside a group law, i.e. to adapt this operation to an action of a group on itself: the group law of the (infinite-dimensional) *electromagnetic quantizing group* \tilde{G} . The proposed Lie group \tilde{G} had a principal bundle structure $\tilde{G} \rightarrow \tilde{G}/\tilde{T}$ and was parametrized, roughly speaking, by the coordinates $A_\mu(\vec{x}, t)$ of the Abelian subgroup G_A of Lie-algebra-valued vector potentials, the coordinates $v = (y_\mu, \Lambda_{\mu\nu})$ (spacetime translations and Lorentz transformations) of the Poincaré group P and the coordinates $\varphi(\vec{x}, t)$ of the local group $T \equiv U(1)(\vec{x}, t)$, which took part of the structure group $\tilde{T} \sim T \times U(1)$ and generalized the standard $U(1)$ -phase invariance, $\Psi \sim e^{i\alpha} \Psi$, in quantum mechanics. In this way, the extra \tilde{T} -equivariance conditions on wavefunctions (complex-valued functions $\Psi(\tilde{g})$ on \tilde{G}), i.e. $\Psi(\tilde{g}_t * \tilde{g}) \sim \Psi(\tilde{g})$, $\tilde{g}_t \in \tilde{T}$, provided the traditional constraints of the theory.

The above-mentioned revision was motivated by the fact that the transformation (1) is not compatible with a group law. Indeed, the general property $g * e = e * g = g$ for a composition

law $g'' = g' * g$ of a group G (e denotes the identity element), precludes the existence of linear terms, in the group law $g''^j = g''^j(g^k, g^l)$ of a given parameter g^j of G , other than g'^j and g^j ; that is, near the identity we have $g''^j = g'^j + g^j + O(2)$. Therefore, the group law for the field parameter A_μ cannot have linear terms in φ . The natural way to address this situation is to leave the vector potential unchanged, and change the phase $\zeta = e^{i\alpha}$ of the quantum-mechanical wavefunctional $\Psi(A)$ as follows:

$$\begin{aligned} \varphi(x) &\rightarrow \varphi(x) + \varphi'(x) & A_\mu(x) &\rightarrow A_\mu(x), \\ \zeta &\rightarrow \zeta \exp \left\{ -\frac{i}{2c\hbar^2} \int_\Sigma d\sigma_\mu(x) \eta^{\rho\sigma} \partial_\rho \varphi'(x) \overleftrightarrow{\partial}^\mu A_\sigma(x) \right\} \end{aligned} \quad (2)$$

where $\eta^{\rho\sigma}$ denotes the Minkowski metric, Σ denotes a spatial hypersurface and \hbar is the Planck constant, which is required to kill the dimensions of $\partial_\rho \varphi' \overleftrightarrow{\partial}^\mu A^\rho \equiv \partial_\rho \varphi' \partial_\mu A^\rho - A^\rho \partial_\mu \partial_\rho \varphi'$ and gives a *quantum* character to the transformation (2) versus the *classical* character of (1) (hereafter, we shall use natural unities $\hbar = 1 = c$). The piece $\partial_\rho \varphi' \overleftrightarrow{\partial}^\mu A^\rho$ takes part of a *symplectic* current

$$J^\mu(g'|g)(x) \equiv \frac{1}{2} \eta^{\rho\sigma} [(vA')_\rho(x) - \partial_\rho(v\varphi')(x)] \overleftrightarrow{\partial}^\mu [A_\sigma(x) - \partial_\sigma \varphi(x)] \quad (3)$$

(we are denoting $g \equiv (A, \varphi, v)$ and $(vA')_\rho(x) \equiv \frac{\partial v^\alpha(x)}{\partial x^\rho} A'_\alpha(v(x))$, $(v\varphi')(x) \equiv \varphi'(v(x))$, with $v^\alpha(x) = \Lambda^\alpha_\beta x^\beta + y^\alpha$ the general action of the restricted Poincaré group P on Minkowski spacetime) which is conserved, $\partial_\mu J^\mu = 0$, if A_ν and φ satisfy the field equations $(\partial_\mu \partial^\mu + m^2)A_\nu = 0$ and $(\partial_\mu \partial^\mu + m^2)\varphi = 0$ (m is a parameter with mass dimension), so that the integral in (2) does not depend on the chosen spacelike hypersurface Σ . The integral $\xi(g'|g) \equiv \int_\Sigma d\sigma_\mu(x) J^\mu(g'|g)(x)$ is a 2-cocycle $\xi : G \times G \rightarrow \mathfrak{R}$ (G denotes the semi-direct product $(G_A \times T) \times_v P$), which fulfils the well known properties:

$$\begin{aligned} \xi(g'|g) + \xi(g' * g|g'') &= \xi(g'|g * g'') + \xi(g|g'') & \forall g, g', g'' \in G \\ \xi(g|e) = 0 &= \xi(e|g) & \forall g \in G \end{aligned} \quad (4)$$

and is the basic ingredient to construct the centrally extended group law $\tilde{g}'' = \tilde{g}' * \tilde{g}$, more explicitly

$$\tilde{g}'' \equiv (g''; \zeta'') = (g' * g; \zeta' \zeta e^{i\xi(g'|g)}) \quad g, g', g'' \in G; \quad \zeta, \zeta', \zeta'' \in U(1) \quad (5)$$

of the electromagnetic quantizing group \tilde{G} (see below and [4] for more details).

It bears mentioning that the required revision of the concepts of gauge transformations and constraint conditions to construct the quantizing group \tilde{G} has led, as a byproduct, to a unified quantization of both the electromagnetic and Proca fields [4], within the same general scheme of quantization based on a group (GAQ) [1]. The different structure of the central extension (5) for the massive case, with regard to the massless case, manifests itself through a *true* (non-trivial) central-extension \tilde{T} of the constraint subgroup T by $U(1)$ given by the piece

$$\xi_m(g'|g) = \frac{1}{2} \int_\Sigma d\sigma_\mu \eta^{\rho\sigma} \partial_\rho(v\varphi') \overleftrightarrow{\partial}^\mu \partial_\sigma \varphi = \frac{1}{2} m^2 \int_\Sigma d\sigma_\mu(v\varphi') \overleftrightarrow{\partial}^\mu \varphi \quad (6)$$

of the cocycle $\xi(g'|g) \equiv \int_\Sigma d\sigma_\mu J^\mu(g'|g)$. The piece ξ_m , which is one (ξ_3) of the three typical and distinguishable pieces (ξ_j , $j = 1, 2, 3$) in which ξ splits up (see [4, 5] and below), gives dynamics to the local group T (creates new couples of conjugated variables), and makes the constraints of second-class nature. This results in an increased number of field degrees of

freedom with regard to the massless case, leading to a Proca quantum field (see [4] for more details).

Furthermore, the standard (classical) transformation (1) is regained as the trajectories associated with generalized equations of motion generated by vector fields with null Noether invariants (*gauge subalgebra*, see [2, 4] and [5] for a formal exposition, including tensor fields).

A unified scheme of quantization for non-Abelian massless and massive vector bosons is also possible in this scheme and suitable as an alternative to the standard spontaneous symmetry breaking mechanism, which is intended to supply mass while preserving renormalizability. However, for this case, the situation seems to be a bit more subtle and far richer.

3. Group quantization of Yang–Mills fields

According to the headings in the foregoing section, our main purpose now is to offer a reasonable attempt to find a (non-perturbative) unified quantization of non-Abelian massless and massive vector bosons without Higgs fields. As in the Abelian case, the key to achieving this goal consists in a revision of the traditional concept of gauge transformation for vector potentials,

$$U(x) \rightarrow U'(x)U(x) \quad A_\nu(x) \rightarrow U'(x)A_\nu(x)U'(x)^{-1} + U'(x)\partial_\nu U'(x)^{-1} \quad (7)$$

in order to make it compatible with a group law: the group law of the (infinite-dimensional) *Yang–Mills quantizing group* \tilde{G} , which will be the primary object to define the quantum theory. This group has a fibre-bundle structure $\tilde{G} \rightarrow \tilde{G}/\tilde{T}$ and is parametrized, roughly speaking, by the coordinates $A^\mu(x) = r_a^b A_b^\mu(x)T^a$ of an Abelian subgroup G_A of Lie-algebra-valued vector potentials (r_a^b is a coupling-constant matrix and T^a are the Lie-algebra generators of the rigid subgroup T , of a gauge group T , satisfying the commutation relations $[T^a, T^b] = C_c^{ab}T^c$ and defining the structure constants C_c^{ab}) and the coordinates $U(x) = e^{\varphi_a(x)T^a} \equiv e^{\varphi(x)}$ of the *local* group T , which takes part of the structure subgroup $\tilde{T} \sim T \times U(1)$ and generalizes the standard $U(1)$ -phase invariance $\Psi \sim e^{i\alpha}\Psi$ in quantum mechanics as a particular case of \tilde{T} -equivariance condition [6]

$$\Psi(\tilde{g}_t * \tilde{g}) = D_{\tilde{T}}^{(\epsilon)}(\tilde{g}_t)\Psi(\tilde{g}) \quad \forall \tilde{g}_t \in \tilde{T} \quad \forall \tilde{g} \in \tilde{G} \quad (8)$$

on complex wavefunctionals $\Psi : \tilde{G} \rightarrow C$ defined on \tilde{G} , where $D_{\tilde{T}}^{(\epsilon)}$ symbolizes a specific representation D of \tilde{T} with ϵ -index (in particular, the $\epsilon = \vartheta$ -angle [7] of non-Abelian gauge theories; see below). As already commented, the \tilde{T} -equivariance conditions (8) provide the traditional *constraints* of the theory, which will be first- or second-class depending on whether the fibration of the structure subgroup $\tilde{T} \rightarrow \tilde{T}/U(1)$ by $U(1)$ is trivial or not ($m = 0$ or $m \neq 0$, respectively; see below).

As mentioned above in the Abelian case, the transformation (7) is not compatible with a group law. The natural way to adapt the operation (7) to an action of a group on itself is to consider that A_ν transforms homogeneously under the adjoint action of T , whereas the non-tensorial part $U(x)\partial_\nu U'(x)^{-1}$ modifies the phase $\zeta = e^{i\alpha}$ of the wavefunctional $\Psi(A)$ according to

$$U(x) \rightarrow U'(x)U(x) \quad A_\nu(x) \rightarrow U'(x)A_\nu(x)U'(x)^{-1} \\ \zeta \rightarrow \zeta \exp\left\{\frac{i}{r^2} \int_\Sigma d\sigma_\mu(x) \operatorname{tr}[U'(x)^{-1}\partial_\nu U'(x)\overset{\leftrightarrow}{\partial}^\mu A^\nu(x)]\right\}. \quad (9)$$

We are restricting ourselves, for the sake of simplicity, to gauge groups T associated with rigid special unitary groups T for which the structure constants C_c^{ab} are totally anti-symmetric, and the anti-Hermitian generators T^a can be chosen such that the Killing–Cartan metric is just $\text{tr}(T^a T^b) = -\frac{1}{2}\delta^{ab}$. For simple groups, the coupling-constant matrix r_a^b reduces to a multiple of the identity $r_a^b = r\delta_a^b$, and we have $A_a^\mu = -(2/r)\text{tr}(T^a A^\mu)$. The argument of the exponential in (9) can be considered to be a piece of a 2-cocycle $\xi : G \times G \rightarrow \mathfrak{H}$ (G is the semi-direct product $G = G_A \times_U T$ of T and the Abelian group G_A of Lie-algebra-valued potentials) constructed through a conserved current, $\xi(g'|g) = \int_\Sigma d\sigma_\mu(x) J^\mu(g'|g)(x)$, $g', g \in G$, so that it does not depend on the chosen spacelike hypersurface Σ (see [2, 5]). On this basis, let us construct a central extension \tilde{G} of G by making use of a 2-cocycle defined on the particular $t = \text{constant}$ Σ -hypersurface. We shall also make partial use of the gauge freedom to set the temporal component $A^0 = 0$, so that the electric field is simply $\vec{E}_a = -\partial_0 A_a$ (from now on, and for the sake of simplicity, we shall put any 3-vector \vec{A} as A , and understand $AE = \sum_{j=1}^3 A^j E^j$, in the hope that no confusion will arise). In this case, there is still a residual gauge invariance $T = \text{Map}(\mathfrak{R}^3, T)$ (see [8]).

The explicit group law $\tilde{g}'' = \tilde{g}' * \tilde{g}$ (with $\tilde{g} = (g; \zeta) = (A, E, U; \zeta)$) for the proposed infinite-dimensional Yang–Mills quantizing group \tilde{G} is

$$\begin{aligned}
 U''(x) &= U'(x)U(x) \\
 A''(x) &= A'(x) + U'(x)A(x)U'(x)^{-1} \\
 E''(x) &= E'(x) + U'(x)E(x)U'(x)^{-1} \\
 \zeta'' &= \zeta'\zeta \exp\left\{-\frac{i}{r^2} \sum_{j=1}^3 \xi_j(A', E', U'|A, E, U)\right\} \\
 \xi_1(g'|g) &\equiv \int d^3x \text{tr} \left[\begin{pmatrix} A' & E' \end{pmatrix} S \begin{pmatrix} U'AU'^{-1} \\ U'EU'^{-1} \end{pmatrix} \right] \\
 \xi_2(g'|g) &\equiv \int d^3x \text{tr} \left[\begin{pmatrix} \nabla U'U'^{-1} & E' \end{pmatrix} S \begin{pmatrix} U'\nabla U U'^{-1}U'^{-1} \\ U'EU'^{-1} \end{pmatrix} \right] \\
 \xi_3(g'|g) &\equiv -2 \int d^3x \text{tr}[\lambda(\log(U'U) - \log U' - \log U)]
 \end{aligned} \tag{10}$$

where $S = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix}$ is a symplectic matrix and $\lambda \equiv \lambda_a T^a$ is a linear function (a matrix) on the Cartan subalgebra of the rigid subgroup T of T .

We have split up the 2-cocycle ξ into three significantly distinguishable 2-cocycles ξ_j , $j = 1, 2, 3$ (as in [4, 5]) for a much better understanding. The first 2-cocycle ξ_1 is meant to provide *dynamics* to the vector potential, so that the pair (A, E) corresponds to a canonically conjugate pair of coordinates. The second 2-cocycle ξ_2 , the *mixed* 2-cocycle, provides a non-trivial (non-diagonal) action of the structure subgroup T on vector potentials and determines the number of degrees of freedom of the constrained theory; it is the non-covariant analogue of the argument of the exponential in (9). Both cocycles correspond to the analogous ones of the Abelian case. Concerning the third one, $\xi_3 \equiv \xi_\lambda$, its origin and nature departs essentially from the Abelian ‘analogue’ (6). Unlike the Abelian case $T = U(1)(x)$, the semi-simple character of T precludes a *true* central extension \tilde{T} of $T = \text{Map}(\mathfrak{R}^3, T)$ by $U(1)$ (this is not the case in one compact spatial dimension $\mathfrak{R}^3 \leftrightarrow S^1$, where true central extensions are known for Kac–Moody groups). However, there exists certain coboundaries, called *pseudo-cocycles*, which define trivial extensions as such, but provide new commutation relations in the Lie algebra of

\tilde{G} and provide a non-trivial piece of the *connection form* of the theory [1],

$$\Theta = \frac{\partial}{\partial g^j} \xi(g'|g) \Big|_{g'=g^{-1}} dg^j - i\zeta^{-1} d\zeta \quad (11)$$

thus altering, in particular, the number of degrees of freedom of the theory (see [9] for a relationship between *pseudo-cohomology* and *coadjoint orbits* of semisimple groups). This is precisely the case of the third cocycle (coboundary, indeed), $\xi_3(g'|g) = \eta(g' * g) - \eta(g') - \eta(g)$, generated by a function $\eta(g) = -2 \int d^3x \operatorname{tr}[\lambda \log U]$ with non-trivial gradient $\delta\eta(g)|_{g=e} = \delta\eta(g)/\delta g^j|_{g=e} \delta g^j \neq 0$ at the identity $g = e$, which is locally linear in the parameters of the Cartan subgroup with as many independent coefficients (constants) λ_a as elements in the Cartan subalgebra, i.e. the range of the rigid group T . The introduction of such a pseudo-cocycle is needed to obtain a faithful representation of the rigid subgroup T , according to our general group representation approach. Pseudo-cocycles similar to ξ_3 do appear in the representation of Kac–Moody groups and in conformally invariant theories in general, although the pseudo-cocycle parameters are usually hidden in a redefinition of the generators involved in the pseudo-extension (the argument of the Lie-algebra generating function). This is the case of the Virasoro algebra in string theory,

$$[\hat{L}_n, \hat{L}_m] = (n - m)\hat{L}_{n+m} + 1/12(cn^3 - c'n)\delta_{n,-m}\hat{1} \quad (12)$$

where the \hat{L}_0 generator is redefined so as to produce a non-trivial expectation value in the vacuum, $h \equiv (c - c')/24$ [10].

The cocycle ξ_3 , however, for $\lambda \neq 0$, again determines the structure of constraints (first- or second-class) and modifies the dynamical content of the vector potential coordinates A by transferring degrees of freedom between the A and φ coordinates. As in the Abelian case, this mechanism conforms massive vector bosons so that ξ_3 must be considered as a *mass cocycle*. In this way, the appearance of mass in the theory has a *cohomological origin*. Notice that the parameter λ (λ_a) bears the dimensions of cubed mass (in natural unities) and can well be renamed by m^3 (m_a^3).

To make more explicit the intrinsic significance of these three quantities ξ_j , $j = 1, 2, 3$, let us calculate the non-trivial Lie-algebra commutators of the right-invariant vector fields (that is, the generators of the left-action $L_{\tilde{g}'}(\tilde{g}) = \tilde{g}' * \tilde{g}$ of \tilde{G} on itself) from the group law (10). They are explicitly

$$\begin{aligned} [\tilde{X}_{A'_a(x)}^R, \tilde{X}_{E'_b(y)}^R] &= -\delta^{ab} \delta_{jk} \delta(x - y) \Xi \\ [\tilde{X}_{E_a(x)}^R, \tilde{X}_{\varphi_b(y)}^R] &= -C_c^{ab} \delta(x - y) \tilde{X}_{E_c(x)}^R + \frac{1}{r} \delta^{ab} \nabla_x \delta(x - y) \Xi \\ [\tilde{X}_{A_a(x)}^R, \tilde{X}_{\varphi_b(y)}^R] &= -C_c^{ab} \delta(x - y) \tilde{X}_{A_c(x)}^R \\ [\tilde{X}_{\varphi_a(x)}^R, \tilde{X}_{\varphi_b(y)}^R] &= -C_c^{ab} \delta(x - y) \tilde{X}_{\varphi_c(x)}^R - C_c^{ab} \frac{\lambda^c}{r^2} \delta(x - y) \Xi \end{aligned} \quad (13)$$

where we denote by $\Xi \equiv i\tilde{X}_\zeta^L = i\tilde{X}_\zeta^R$ the central generator, in order to distinguish it from the rest, in view of its crucial role in the quantization procedure; it behaves as i times the identity operator, $\Xi\Psi(\tilde{g}) = i\Psi(\tilde{g})$, when the $U(1)$ part of the \tilde{T} -equivariance conditions (8), $D_{\tilde{T}}^{(\epsilon)}(\zeta) = \zeta$ (always faithful, except in the classical limit $U(1) \rightarrow \mathfrak{R}$ [1]), is imposed. The commutators (13) agree with those of [8] when $\lambda_c = 0$ and the identification $\hat{E}_a \equiv i\tilde{X}_{A_a}^R$, $\hat{A}_a \equiv i\tilde{X}_{E_a}^R$, $\hat{G}_a \equiv i\tilde{X}_{\varphi_a}^R$ is made (note that $\tilde{X}_{A_a}^R \sim \delta/\delta A_a$ and $\tilde{X}_{E_a}^R \sim \delta/\delta E_a$ near the identity element $\tilde{g} = e$ of \tilde{G} , which motivates this particular identification). From the last line of (13) we realize that the pseudo-cocycle ξ_3 introduces new central terms proportional to the

mass parameters $\lambda_c = m_c^3$, with respect to the massless case, which provide new ‘conjugated’ coordinates; that is, extra degrees of freedom enter the theory through this pseudo-extension, which provides dynamics to the local (gauge) coordinates φ_a of the structure subgroup \tilde{T} , dynamics which is transferred to the vector potentials A_a to conform massive vector bosons.

To understand fully the last statement concerning the interplay among different cocycles and mainly between the massless and massive cases, we must construct the Hilbert space of both theories explicitly. Let us proceed with the massless case and leave the peculiarities of the massive one to the next section.

The representation $L_{\tilde{g}'}\Psi(\tilde{g}) = \Psi(\tilde{g}' * \tilde{g})$ of \tilde{G} on \tilde{T} -equivariant wavefunctions (8) proves to be reducible. The reduction can be achieved by means of those right conditions (‘polarization conditions’ [1]) $R_{\tilde{g}_p}\Psi(\tilde{g}') = \Psi(\tilde{g}' * \tilde{g}_p) \equiv \Psi(\tilde{g}') \forall \tilde{g}' \in \tilde{G}$ compatible with the \tilde{T} -equivariant conditions (8), in particular with $\Xi\Psi = i\Psi$. In general, polarization conditions contain finite right transformations generated by left-invariant vector fields \tilde{X}^L devoid of dynamical content (that is, without a conjugated counterpart), and half of the left-invariant vector fields related to dynamical coordinates (either ‘positions’ or ‘momenta’). The left-invariant vector fields without conjugated counterpart are the combinations

$$\mathcal{G}_c \equiv \left\langle \tilde{X}_{\theta_a}^L \equiv \tilde{X}_{\varphi_a}^L - \frac{1}{r} \nabla \cdot \tilde{X}_{A_a}^L, / C_c^{ab} \lambda^c = 0 \ \forall b \right\rangle. \tag{14}$$

The characteristic subalgebra \mathcal{G}_c can be completed to a full polarization subalgebra \mathcal{G}_p in two different ways:

$$\mathcal{G}_p^{(A)} \equiv \langle \tilde{X}_{\theta_a}^L \in \mathcal{G}_c, \tilde{X}_{A_b}^L \ \forall b \rangle \quad \mathcal{G}_p^{(E)} \equiv \langle \tilde{X}_{\theta_a}^L \in \mathcal{G}_c, \tilde{X}_{E_b}^L \ \forall b \rangle \tag{15}$$

each one giving rise to a different representation space: (a) the electric field representation and (b) the magnetic field representation, respectively.

(a) The electric field representation Ψ_A

The solution to the polarization conditions $R_{\tilde{g}_p}\Psi_A(\tilde{g}) = \Psi_A(\tilde{g}), \forall \tilde{g}_p \in G_p^{(A)}, \forall \tilde{g} \in \tilde{G}$ or, in infinitesimal form $\tilde{X}^L\Psi_A = 0, \forall \tilde{X}^L \in \mathcal{G}_p^{(A)}$, proves to be

$$\Psi_A(A, E, U; \zeta) = \zeta e^{-i/r^2 \int d^3x \text{tr}[AE - U\nabla U^{-1}E]} \Phi_A(E) \tag{16}$$

where Φ_A is an arbitrary functional of E . The left-action of a general element $\tilde{g}' = (A', E', U'; \zeta')$ of \tilde{G} on wavefunctions Ψ_A is

$$L_{\tilde{g}'}\Psi_A(\tilde{g}) = \zeta' \zeta e^{-2i/r^2 \int d^3x \text{tr}[A'U'EU'^{-1} + U'^{-1}\nabla U'E + \frac{1}{2}A'E']} \times e^{-i/r^2 \int d^3x \text{tr}[AE - U\nabla U^{-1}E]} \Phi_A(E' + U'EU'^{-1}). \tag{17}$$

The particular case of $\tilde{g}' = \tilde{g}'_t = (0, 0, U'; 1) \in T \subset \tilde{T}$ gives us the expression of the rest of \tilde{T} -equivariant conditions (8), i.e. the constraint equations:

$$L_{\tilde{g}'_t}\Psi_A(\tilde{g}) = D_{\tilde{T}}^{(\epsilon)}(\tilde{g}'_t)\Psi_A(\tilde{g}) \Rightarrow \Phi_A(E) = e^{-2i/r^2 \int d^3x \text{tr}[U'^{-1}\nabla U'E]} \Phi_A(U'EU'^{-1}) \tag{18}$$

where we have chosen the trivial representation $D_{\tilde{T}}^{(\epsilon)} = 1$ for T (see below for more general cases).

The polarized, \tilde{T} -equivariant wavefunctions (16) and (18) define the constrained Hilbert space $\mathcal{H}(\tilde{G})$ of the theory, and the infinitesimal form $\tilde{X}_{\tilde{g}'}^R\Psi$ of the finite left-action $L_{\tilde{g}'}\Psi(\tilde{g})$ of \tilde{G} on $\mathcal{H}(\tilde{G})$ provides the action of the operators $\hat{A}_a, \hat{E}_a, \hat{G}_a$ on wavefunctions. Thus, the group \tilde{G} is irreducibly and unitarily represented with respect to the natural scalar product

$\langle \Psi | \Psi' \rangle = \int_{\tilde{G}} \mu(\tilde{g}) \Psi^*(\tilde{g}) \Psi'(\tilde{g})$, where $\mu(\tilde{g})$ denotes the standard left-invariant measure of \tilde{G} (exterior product of the components of the left-invariant 1-form θ^L).

The infinitesimal form of the finite expressions (17) is

$$\begin{aligned} \tilde{X}_{A_a}^R \Psi_A &= \zeta e^{-(i/r^2) \int d^3x \text{tr}[AE-U\nabla U^{-1}E]} i E_a \Phi_A(E) \Rightarrow \hat{E}_a \Phi_A(E) = -E_a \Phi_A(E) \\ \tilde{X}_{E_a}^R \Psi_A &= \zeta e^{-(i/r^2) \int d^3x \text{tr}[AE-U\nabla U^{-1}E]} \frac{\delta}{\delta E_a} \Phi_A(E) \Rightarrow \hat{A}_a \Phi_A(E) = i \frac{\delta}{\delta E_a} \Phi_A(E) \\ \tilde{X}_{\varphi_a}^R \Psi_A &= \zeta e^{-(i/r^2) \int d^3x \text{tr}[AE-U\nabla U^{-1}E]} \left(-\frac{i}{r} \nabla \cdot E_a + C_c^{ab} E_b \cdot \frac{\delta}{\delta E_c} \right) \Phi_A(E) \\ &\Rightarrow \hat{G}_a \Phi_A(E) = \left(-\frac{1}{r} \nabla \cdot \hat{E}_a - C_c^{ab} \hat{E}_b \cdot \hat{A}_c \right) \Phi_A(E) \end{aligned} \quad (19)$$

which provides the explicit expression for the basic operators of the theory. Several attempts [11] have been made to simplify the Gauss law constraint (18), which in infinitesimal form reads $\hat{G}_a(x) \Phi_A(E) = 0$, by means of a unitary transformation $\Phi'_A(E) = \exp\{-(i/r)\Omega(E)\} \Phi_A(E)$ in the electric field representation. The variation $\omega_j^a(E) = -\partial\Omega(E)/\partial E_a^j$ transforms as a standard Lie-algebra-valued connection and modifies the operator $\hat{G}_a(x)$ so that the new constraint equations $\hat{G}'_a(x) \Phi'_A(E) = i C_c^{ab} E_b \cdot \frac{\delta}{\delta E_c} \Phi'_A(E) = 0$ reduce to simple 's-wave' conditions.

(b) *The magnetic field representation Ψ_E*

The choice of the polarization subalgebra $\mathcal{G}_p^{(E)}$ results in polarized wavefunctions of the form

$$\Psi_E(A, E, U; \zeta) = \zeta e^{(i/r^2) \int d^3x \text{tr}[AE-U\nabla U^{-1}E]} \Phi_E(A + \nabla U U^{-1}) \quad (20)$$

where Φ_E is an arbitrary functional of $\mathcal{A} \equiv A + \nabla U U^{-1}$. The left-action of \tilde{G} on wavefunctions Ψ_E is now

$$\begin{aligned} L_{\tilde{g}'} \Psi_E(\tilde{g}) &= \zeta' \zeta \exp \left\{ -2 \frac{i}{r^2} \int d^3x \text{tr}[U' A U'^{-1} E' + U'^{-1} E' U' \nabla U U^{-1} \right. \\ &\quad \left. + \frac{1}{2} A' E' - \frac{1}{2} U' \nabla U'^{-1} E' \right\} \exp \left\{ -\frac{i}{r^2} \int d^3x \text{tr}[A E - U \nabla U^{-1} E] \right\} \\ &\quad \times \Phi_E(A' + \nabla U' U'^{-1} + U'(A + \nabla U U^{-1}) U'^{-1}). \end{aligned} \quad (21)$$

The constraint equations (18) in the present magnetic representation are

$$L_{\tilde{g}_t'} \Psi(\tilde{g}) = D_{\tilde{T}}^{(\epsilon)}(\tilde{g}_t') \Psi(\tilde{g}) \Rightarrow \Phi_E(\mathcal{A}) = \Phi_E(U' A U'^{-1} + \nabla U' U'^{-1}) \quad (22)$$

(note the absence of a phase in comparison with the electric representation case). The infinitesimal form of the finite expression (21) is

$$\begin{aligned} \tilde{X}_{A_a}^R \Psi_E &= \zeta e^{(i/r^2) \int d^3x \text{tr}[AE-U\nabla U^{-1}E]} \frac{\delta}{\delta \mathcal{A}_a} \Phi_E(\mathcal{A}) \Rightarrow \hat{E}_a \Phi_E(\mathcal{A}) = i \frac{\delta}{\delta \mathcal{A}_a} \Phi_E(\mathcal{A}) \\ \tilde{X}_{E_a}^R \Psi_E &= -i \zeta e^{(i/r^2) \int d^3x \text{tr}[AE-U\nabla U^{-1}E]} \mathcal{A}_a \Phi_E(\mathcal{A}) \Rightarrow \hat{A}_a \Phi_E(\mathcal{A}) = \mathcal{A}_a \Phi_E(\mathcal{A}) \\ \tilde{X}_{\varphi_a}^R \Psi_E &= \zeta e^{(i/r^2) \int d^3x \text{tr}[AE-U\nabla U^{-1}E]} \left(-\frac{1}{r} \nabla \cdot \frac{\delta}{\delta \mathcal{A}_a} + C_c^{ab} \mathcal{A}_b \cdot \frac{\delta}{\delta \mathcal{A}_c} \right) \Phi_E(\mathcal{A}). \end{aligned} \quad (23)$$

Since \tilde{T} -equivariant conditions (8), (18) and (22) are imposed as finite left restrictions, it is evident that not all the operators \tilde{X}^R will preserve the constraints; we shall call $\tilde{\mathcal{G}}_{\text{good}}$

the subalgebra of (*good*~physical) operators which will do so. These must be found inside the right-enveloping algebra $\mathcal{U}(\tilde{\mathcal{G}}^R)$ of polynomials of the basic operators $\hat{A}_a(x)$, $\hat{E}_b(x)$, as forming part of the *normalizer* of T ; for example, a sufficient condition for $\tilde{\mathcal{G}}_{\text{good}}$ to preserve the constraints is $[\tilde{\mathcal{G}}_{\text{good}}, \tilde{T}] \subset \text{Ker } dD_{\tilde{T}}^{(\epsilon)}$. In particular, some good operators are

$$\tilde{\mathcal{G}}_{\text{good}} = \langle \text{tr}[\hat{E}^j(x)\hat{B}^k(x)], \text{tr}[\hat{E}^j(x)\hat{E}^k(x)], \text{tr}[\hat{B}^j(x)\hat{B}^k(x)], \Xi \rangle \quad (24)$$

where $\hat{B}_a \equiv \nabla \wedge \hat{A}_a - \frac{1}{2}rC_c^{ab}\hat{A}_b \wedge \hat{A}_c$ (the magnetic field) can be interpreted as a ‘correction’ to \hat{A}_a that, unlike \hat{A}_a , transforms homogeneously under the adjoint action of T (see the second line of (13)). The components $\hat{\Theta}^{\mu\nu}(x)$ of the standard canonical energy–momentum tensor for Yang–Mills theories are linear combinations of operators in (24); for example, $\hat{\Theta}^{00}(x) = -\text{tr}[\hat{E}^2(x) + \hat{B}^2(x)]$ is the Hamiltonian density. In this way, Poincaré invariance is retrieved in the constrained theory. At this stage, it is worth mentioning that \mathcal{G}_c would have included the entire Poincaré algebra had we incorporated the Poincaré group into \tilde{G} (see [4, 5] for the Abelian case). However, unlike other standard approaches to quantum mechanics, GAQ still remains even in the absence of a well defined (space)time evolution, an interesting and desirable property concerning the quantization of gravity (see, for example, [12]).

Let us mention, for the sake of completeness, that the actual use of good operators is not restricted to first- and second-order operators. Higher-order operators can constitute a useful tool in finding the whole constrained Hilbert space $\mathcal{H}_{\text{phys}}(\tilde{G})$. In fact, it can be obtained from a \tilde{T} -equivariant (physical) state $\Phi^{(0)}$, i.e. $\hat{G}_a\Phi^{(0)} = 0$, on which the energy–momentum tensor has null expectation value $\langle \Phi^{(0)} | \hat{\Theta}^{\mu\nu} | \Phi^{(0)} \rangle = 0$, by taking the orbit of the rest of good operators passing through this ‘vacuum’. This has indeed been a rather standard technique (the Verma module approach) in theories where null vector states are present in the original Hilbert space [10, 13, 14]. From another point of view, with regard to confinement, exponentials of the form $\varepsilon_{\Sigma_2} \equiv \text{tr}[\exp(\epsilon_{jkl} \int_{\Sigma_2} d\sigma^{jk} \hat{E}^l)]$ and $\beta_{\Sigma_2} \equiv \text{tr}[\exp(\epsilon_{jkl} \int_{\Sigma_2} d\sigma^{jk} \hat{B}^l)]$, where Σ_2 is a two-dimensional surface in three-dimensional space, are good operators related to Wilson loops.

As a step prior to tackling the massive case, let us show how new physics can enter the theory by considering non-trivial representations $D_{\tilde{T}}^{(\epsilon)}$ of \tilde{T} or, in an equivalent way, by introducing certain extra pseudo-cocycles in the group law (10).

3.1. ϑ -angle

More general representations for the constraint subgroup T , namely the one-dimensional representation $D_{\tilde{T}}^{(\epsilon)}(U) = e^{i\epsilon U}$, can be considered if we impose additional boundary conditions such as $U(x) \xrightarrow{x \rightarrow \infty} \pm I$; this means that we compactify the space $\mathfrak{R}^3 \rightarrow S^3$, so that the group T falls into disjoint homotopy classes $\{U_l, \epsilon_{U_l} = l\vartheta\}$ labelled by integers $l \in \mathbb{Z} = \pi_3(T)$ (the third homotopy group). The index ϑ (the ϑ -angle [7]) parametrizes *non-equivalent quantizations*, in the same way that Bloch momentum ϵ does for particles in periodic potentials, where the wavefunction acquires a phase $\psi(q + 2\pi) = e^{i\epsilon}\psi(q)$ after a translation of, let us say, 2π . The phenomenon of non-equivalent quantizations can also be reproduced by keeping the constraint condition $D_{\tilde{T}}^{(\epsilon)}(U) = 1$, as in (18) and (22), at the expense of introducing a new cocycle (indeed a coboundary) ξ_{ϑ} which is added to the previous cocycle ξ in (10). The generating function of ξ_{ϑ} is $\eta_{\vartheta}(g) = \vartheta \int d^3x C^0(x)$, where C^0 is the time component of the Chern–Simons secondary characteristic class

$$C^\mu = -\frac{1}{16\pi^2} \epsilon^{\mu\alpha\beta\gamma} \text{tr}(\mathcal{F}_{\alpha\beta}\mathcal{A}_\gamma - \frac{2}{3}\mathcal{A}_\alpha\mathcal{A}_\beta\mathcal{A}_\gamma) \quad (25)$$

which is the vector whose divergence equals the Pontryagin density $\mathcal{P} = \partial_\mu \mathcal{C}^\mu = -(1/16\pi^2)\text{tr}(*\mathcal{F}^{\mu\nu}\mathcal{F}_{\mu\nu})$ (see [8], for instance). Like some total derivatives (namely, the Pontryagin density), which do not modify the classical equations of motion when added to the Lagrangian but have a non-trivial effect in the quantum theory, the coboundary ξ_ϑ gives rise to non-equivalent quantizations parametrized by ϑ when the topology of the space is affected by the imposition of certain boundary conditions ('compactification of the space'), even though it is a trivial cocycle of the 'unconstrained' theory. The phenomenon of non-equivalent quantizations can sometimes also be understood as an *Aharonov-Bohm-like effect* (an effect experienced by the quantum particle but not by the classical one) and the gradient $d\eta(g)$ can also be understood as an *induced gauge connection* (see, e.g., [15, 16], and [17] for the example of a superconducting ring threaded by a magnetic flux) which modifies momenta according to the minimal coupling. For our case, the induced gauge connection $\delta\eta_\vartheta(g) = (\vartheta r^2/8\pi^2)B_j^a \delta A_a^j$ (B_j^a is the magnetic field) modifies the momentum operators $\hat{E}_a \equiv i\tilde{X}_{A_a}^R \rightarrow \hat{E}_a + (\vartheta r^2/8\pi^2)\hat{B}_a$ and, accordingly, the Schrödinger equation $\int d^3x \hat{\Theta}^{00}(x)\Phi = \mathcal{E}\Phi$ for stationary solutions Φ with energy \mathcal{E} . As is well known, the theory also exhibits a band energy structure of the form $\alpha + \beta \cos \vartheta$, the ground-state band functional $|\vartheta\rangle = \sum_l e^{i\vartheta l} |0_l\rangle$ being a superposition of wavefunctionals $\Psi_l(A) = \langle A|0_l\rangle$ peaked near the classical zero-energy configurations (pure gauge potentials) $A_{(l)} = U_l \nabla U_l^{-1}$.

As already discussed, only coboundaries generated by functions $\eta(g)$ with non-trivial gradient $\delta\eta(g)|_{g=e} \neq 0$ at the identity $g = e$ (i.e. pseudo-cocycles), namely $\xi_3 = \xi_\lambda$, will provide a contribution to the connection form of the theory (11) and the structure constants of the original Lie algebra. However, as we have just seen, a coboundary generated by a global function on the original (infinite-dimensional) group G having trivial gradient at the identity, namely ξ_ϑ , can contribute the quantization with global (topological) effects as the new group has a non-equivalent global multiplication law.

In both cases, non-trivial gauge transformation properties, $D_{\tilde{T}}^{(\epsilon)}(U) \neq 1$, of the wavefunctional $\Phi(A)$ can be reproduced, as already mentioned, by keeping the trivial representation $D_{\tilde{T}}^{(\epsilon)}(U) = 1$ at the expense of introducing new (pseudo-)cocycles, ξ_ϑ or ξ_λ , in the centrally extended group law (10). However, whereas ξ_ϑ does not introduce new degrees of freedom into the theory, pseudo-cocycles such as ξ_λ provide new couples of conjugated field operators, thus substantially modifying the theory. Let us examine this in more detail.

4. The massive case: 'spontaneous' symmetry 'breaking' and alternatives to the Higgs mechanism

The effect of the pseudo-cocycle $\xi_3 \equiv \xi_\lambda$ for $\lambda \neq 0$ is equivalent to inducing *internal* ('spinor-like') infinite-dimensional non-Abelian representations $D_{\tilde{T}}^{(\lambda)}$ of \tilde{T} . It modifies the commutation relations (13) and the number of field degrees of freedom of the theory by restricting the number of vector fields in the characteristic subalgebra \mathcal{G}_c with respect to the massless case, where $\mathcal{G}_c \sim \mathcal{T}$. That is, new couples of generators $(\tilde{X}_{\varphi_a}^R, \tilde{X}_{\varphi_b}^R)$, with $C_c^{ab\lambda c} \neq 0$, become conjugated (see the last commutator of (13)) and, therefore, new basic operators enter the theory. To count the number of field degrees of freedom for a given structure subgroup \tilde{T} and a given mass matrix $\lambda = \lambda_a T^a$, let us denote by $\tau = \dim(\mathcal{T})$ and $c = \dim(\mathcal{G}_c)$ the dimensions of the rigid subgroups of \mathcal{T} and \mathcal{G}_c ; in general, for an arbitrary mass matrix λ , we have $c \leq \tau$. Unpolarized, $U(1)$ -equivariant functions $\Psi(A_a^j, E_a^j, \varphi_a)$ depend on $n = 2 \times 3\tau + \tau$ field coordinates in $d = 3$ dimensions; polarization equations introduce $p = c + (n - c)/2$ independent restrictions on wavefunctions, corresponding to c non-dynamical coordinates in \mathcal{G}_c and half of the dynamical ones; finally, constraints impose $q = c + (\tau - c)/2$ additional

restrictions which leave $f = n - p - q = 3\tau - c$ field degrees of freedom (in $d = 3$). Indeed, for the massive case, constraints are *second class* and we can impose only a polarization subalgebra $\mathcal{T}_p \subset \tilde{\mathcal{T}}$, which contains a characteristic subalgebra $\mathcal{T}_c = \langle \tilde{X}_{\varphi_a}^R, \text{ with } C_c^{ab}\lambda^c = 0 \forall b \rangle \subset \tilde{\mathcal{T}}$ (which is isomorphic to \mathcal{G}_c) and half of the rest of generators in $\tilde{\mathcal{T}}$ (excluding Ξ)[†]. In total, $q = c + (\tau - c)/2 \leq \tau$ independent constraints, which lead to constrained wavefunctions having support on $f_{m \neq 0} = 3\tau - c \geq f_{m=0}$ arbitrary fields; these fields correspond to c massless vector bosons attached to \mathcal{T}_c and $\tau - c$ massive vector bosons. In particular, for the massless case, we have $\mathcal{T}_c = \mathcal{T}$, i.e. $c = \tau$, since constraints are *first class* (that is, we can impose $q = \tau$ restrictions) and constrained wavefunctions have support on $f_{m=0} = 3\tau - \tau = 2\tau \leq f_{m \neq 0}$ arbitrary fields corresponding to τ massless vector bosons. The subalgebra \mathcal{T}_c corresponds to the unbroken gauge symmetry of the constrained theory and proves to be an *ideal* of $\tilde{\mathcal{G}}_{\text{good}}$ (remember the characterization of *good* operators before equation (24); see also [2, 5] for a definition and subtle distinctions between constraints and gauge symmetries inside GAQ).

Let us work out a couple of examples. Cartan (maximal Abelian) subalgebras of \mathcal{T} will be preferred as candidates for the rigid subgroup of the unbroken electromagnetic gauge symmetry. Thus, let us use the Cartan basis $\langle H_i, E_{\pm\alpha} \rangle$ instead of $\langle T^a \rangle$, and denote $\{\varphi_i, \varphi_{\pm\alpha}\}$ the coordinates of T attached to this basis (i.e. $\varphi_{\pm\alpha}$ are complex field coordinates attached to each root $\pm\alpha$, and φ_i are real field coordinates attached to the maximal torus of \mathcal{T}). For $T = SU(2)(x)$ and $\lambda = \lambda_1 H_1$, the characteristic, polarization and constraint subalgebras (leading to the electric field representation) are

$$\mathcal{G}_c = \langle \tilde{X}_{\theta_1}^L \rangle \quad \mathcal{G}_p^{(A)} = \langle \tilde{X}_{\theta_1}^L, \tilde{X}_{\theta_{\pm 1}}^L, \tilde{X}_A^L \rangle \quad \mathcal{T}_p = \langle \tilde{X}_{\varphi_1}^R, \tilde{X}_{\varphi_{-1}}^R \rangle. \tag{26}$$

Indeed, the appearance of a central term in the commutator

$$[\tilde{X}_{\varphi_{+1}(x)}^R, \tilde{X}_{\varphi_{-1}(y)}^R] = i\delta(x - y)\tilde{X}_{\varphi_1(x)}^R + i\frac{\lambda_1}{r^2}\delta(x - y)\Xi \tag{27}$$

prevents the vector fields $\tilde{X}_{\theta_{\pm 1}}^R$ from being in \mathcal{G}_c and precludes the simultaneous imposition of $\tilde{X}_{\varphi_{-1}}^R \Psi_{\text{phys}} = 0$ and $\tilde{X}_{\varphi_{+1}}^R \Psi_{\text{phys}} = 0$ as constraints (for the trivial representation $D_{\tilde{\mathcal{T}}}^{(\epsilon)}(U) = 1$), so that a polarization subalgebra \mathcal{T}_p is the only option (\mathcal{T}_p has to contain the ‘negative modes’ $\tilde{X}_{\varphi_{-1}}^R$ when the ‘positive’ ones $\tilde{X}_{\theta_{\pm 1}}^L$ have been chosen in $\mathcal{G}_p^{(A)}$, or the other way round). The new couple of *basic* operators $\hat{G}_{\pm 1} \equiv \tilde{X}_{\varphi_{\pm 1}}^R$ (these are basic because they can no longer be written in terms of \hat{A} and \hat{E}) represent two new field degrees of freedom which are transferred to the vector potentials $\hat{A}_{\pm 1}$ to conform massive vector bosons; i.e. $\hat{G}_{\pm 1}$ can be seen as the longitudinal component of $\hat{A}_{\pm 1}$, which is missing (is zero) in the massless case. Thus, the constrained theory corresponds to a self-interacting field theory of a massless vector boson A_1 with ‘unbroken’ gauge subgroup $T_c = U(1)(x) \subset SU(2)(x)$ and two charged vector bosons $A_{\pm 1}$ with mass cubed $m_1^3 = \lambda_1$.

For $T = SU(3)(x)$ and $\lambda = \lambda_2 H_2$, we have

$$\begin{aligned} \mathcal{G}_c &= \langle \tilde{X}_{\theta_{1,2}}^L, \tilde{X}_{\theta_{\pm 1}}^L \rangle & \mathcal{G}_p^{(A)} &= \langle \tilde{X}_{\theta_{1,2}}^L, \tilde{X}_{\theta_{\pm 1}}^L, \tilde{X}_{\theta_{+2,+3}}^L, \tilde{X}_A^L \rangle \\ \mathcal{T}_p &= \langle \tilde{X}_{\varphi_{1,2}}^R, \tilde{X}_{\varphi_{\pm 1}}^R, \tilde{X}_{\varphi_{-2,-3}}^R \rangle. \end{aligned} \tag{28}$$

[†] A similar situation happens in the bosonic string theory, where we can impose as constraints half of the Virasoro operators (the positive modes $\hat{L}_{n \geq 0}$) only; that is, the appearance of central terms in the Lie algebra (12) precludes the whole Virasoro algebra to be imposed as constraints, and only a polarization subalgebra can be imposed consistently.

Indeed, in this case, the relevant commutators

$$\begin{aligned} [\tilde{X}_{\varphi_{\pm 1}(x)}^R, \tilde{X}_{\varphi_{\mp 1}(y)}^R] &= i\delta(x-y)\tilde{X}_{\varphi_1(x)}^R \\ [\tilde{X}_{\varphi_{\pm 2}(x)}^R, \tilde{X}_{\varphi_{\mp 2}(y)}^R] &= \frac{i}{\sqrt{3}}\delta(x-y)\tilde{X}_{\varphi_1(x)}^R + i\delta(x-y)\tilde{X}_{\varphi_2(x)}^R + i\frac{\lambda_2}{r^2}\delta(x-y)\Xi \\ [\tilde{X}_{\varphi_{\pm 3}(x)}^R, \tilde{X}_{\varphi_{\mp 3}(y)}^R] &= \frac{-i}{\sqrt{3}}\delta(x-y)\tilde{X}_{\varphi_1(x)}^R + i\delta(x-y)\tilde{X}_{\varphi_2(x)}^R + i\frac{\lambda_2}{r^2}\delta(x-y)\Xi \end{aligned} \quad (29)$$

reveal that the vector fields $\tilde{X}_{\theta_{\pm 2}}^R$ and $\tilde{X}_{\theta_{\pm 3}}^R$ have dynamical content and cannot be included in \mathcal{G}_c . Also, its conjugated character precludes the simultaneous imposition of $\tilde{X}_{\varphi_{-2,-3}}^R$ and $\tilde{X}_{\varphi_{+2,+3}}^R$ as constraints, and a polarization subalgebra \mathcal{T}_p has to be chosen. In contrast, the vector fields $\tilde{X}_{\varphi_{\pm 1}}^R$ are devoid of dynamical content, as can be seen from the first line of (29), and can be simultaneously imposed as constraints in \mathcal{T}_p (this is because of the particular choice of mass matrix λ , which determines different ‘symmetry breaking’ patterns). As for $T = SU(2)(x)$, the new couples of basic operators $\hat{G}_{\pm 2, \pm 3} \equiv \tilde{X}_{\varphi_{\pm 2, \pm 3}}^R$ represent four new field degrees of freedom which are transferred to the vector potentials $A_{\pm 2, \pm 3}$ to conform massive vector bosons. Thus, the constrained theory corresponds to a self-interacting theory of two massless vector bosons $A_{1,2}$, two massless charged vector bosons $A_{\pm 1}$ (the ‘unbroken’ gauge subgroup is now $T_c = SU(2) \times U(1)(x) \subset SU(3)(x)$) and four charged vector bosons $A_{\pm 2, \pm 3}$ with mass cubed $m_2^3 = \lambda_2$.

Summarizing, new basic operators $\hat{G}_{\pm\alpha} \equiv \tilde{X}_{\varphi_{\pm\alpha}}^R$, with $C_i^{\alpha-\alpha}\lambda^i \neq 0$, and new good operators $\hat{C}_i = \{\text{Casimir operators of } \tilde{T}\}$ (i runs the range of T) enter the theory, in contrast to the massless case. For example, for $T = SU(2)(x)$, the Casimir operator is

$$\hat{C}(x) = \left(\hat{G}_1(x) + \frac{\lambda_1}{r^2} \right)^2 + 2(\hat{G}_{+1}(x)\hat{G}_{-1}(x) + \hat{G}_{-1}(x)\hat{G}_{+1}(x)). \quad (30)$$

Also, the Hamiltonian density $\hat{\Theta}^{00}(x) = -\text{tr}[E^2(x) + B^2(x)]$ for $m = 0$ can be affected in the massive case $m \neq 0$ by the presence of extra terms proportional to these Casimir operators as follows:

$$\hat{\Theta}_{m \neq 0}^{00}(x) = \hat{\Theta}_{m=0}^{00}(x) + \sum_i \frac{r^2}{m_i^2} \hat{C}_i(x). \quad (31)$$

Thus, the Schrödinger equation $\int d^3x \hat{\Theta}_{m \neq 0}^{00}(x)\Phi = \mathcal{E}\Phi$ is also modified by the presence of extra terms.

As already mentioned in reference to the Virasoro group, pseudo-cocycle parameters such as λ_i are usually hidden in a redefinition of the generators involved in the pseudo-extension $\hat{G}_i(x) + \lambda_i/r^2 \equiv \hat{G}'_i(x)$. However, whereas the vacuum expectation value $\langle 0_\lambda | \hat{G}_i(x) | 0_\lambda \rangle$ is zero[†], the vacuum expectation value $\langle 0_\lambda | \hat{G}'_i(x) | 0_\lambda \rangle = \lambda_i/r^2$ of the redefined operators $\hat{G}'_i(x)$ is non-null and proportional to the cubed mass in the ‘direction’ i of the ‘unbroken’ gauge symmetry T_c , which depends on the particular choice of the mass matrix λ . Thus, the effect of the pseudo-extension manifests also in a different choice of a vacuum in which some gauge operators have a non-zero expectation value. This fact reminds us of the Higgs mechanism in non-Abelian gauge theories, where the Higgs fields point to the direction of the non-null vacuum expectation values. However, the spirit of the Higgs mechanism, as an

[†] It can be easily proven taking into account that the vacuum is annihilated by the right version of the polarization subalgebra dual to \mathcal{G}_p [18]; also, $\hat{G}_i = \tilde{X}_{\varphi_i}^R$ is always in \mathcal{T}_p ; that is, it is zero on constrained wavefunctionals Ψ_{phys} , including the physical vacuum.

approach to supply mass, and the one discussed in this paper are radically different, even though they have some common characteristics. In fact, we are not making use of extra scalar fields in the theory to provide mass to the vector bosons, but it is the gauge group itself that acquires dynamics for the massive case and transfers degrees of freedom to the vector potentials.

Before finishing, let us show how to incorporate fermionic matter into the theory and outline the main changes in the foregoing discussion had we considered it from the beginning.

5. Incorporating fermionic matter

Fermionic matter can enter the theory through extra (Dirac) field coordinates $\psi_l(x)$, $l = 1, \dots, p$, which we can assemble into a column vector $\psi(x)$, and an extra cocycle ξ_{matter} leading to a quantizing *supergroup* \widetilde{SG} . The group law that describes this boson–fermion gauge theory is (10) together with

$$\begin{aligned} \psi''(x) &= \psi'(x) + \rho(U(x))\psi(x) \\ \bar{\psi}''(x) &= \bar{\psi}'(x) + \bar{\psi}(x)\rho(U(x)^{-1}) \\ \xi_{\text{matter}} &\equiv i \int d^3x (\bar{\psi}'\gamma^0\rho(U')\psi - \bar{\psi}\rho(U'^{-1})\gamma^0\psi') \end{aligned} \tag{32}$$

where $\rho(U)$ is a p -dimensional representation of T acting on the column vectors ψ , and γ^0 is the time component of the standard Dirac matrices γ^μ . To compute the left- and right-invariant super-vector fields $\tilde{X}^{L,R}$ and the polarized super-wavefunctionals $\Psi(A, E, U, \psi, \bar{\psi}; \zeta)$, we have to take into account the Grassmann character of the Dirac field coordinates. The unitary irreducible representations of \widetilde{SG} can easily be constructed by following the main steps described in this paper and by taking care of the subtleties introduced by the anti-commutation of Grassmann variables (see [19] for the finite-dimensional example of the super-Galilei group $\widetilde{SG}_{(m)}$). We should mention that, in the presence of fermion sources, the infinitesimal version of the constraint (18), i.e. the Gauss law, is modified to

$$\hat{G}_a \Phi_{A,\psi}(E, \bar{\psi}) = \left(-\frac{1}{r} \nabla \cdot \hat{E}_a - C_c^{ab} \hat{E}_b \cdot \hat{A}_c - \frac{i}{r} \hat{\psi} \gamma^0 \tau_a \hat{\psi} \right) \Phi_{A,\psi}(E, \bar{\psi}) = 0 \tag{33}$$

(where τ_a denote the generators of ρ) in accordance with other standard approaches. Other interesting questions such as chiral anomalies are left to future publications.

6. Some comments and outlook

One question which is worthwhile to comment upon is the preservation of renormalizability for a non-trivial mass matrix $\lambda \neq 0$. Since our approach to quantization is not perturbative, we must answer this question using general arguments. In fact, from a group-theoretical point of view, there is no reason why a given unitary irreducible representation of a group \tilde{G} (namely, the massive one) can show bad properties, such as ‘inescapable divergences’, whereas others (namely, the massless one) do not. Even more, when we use the term ‘unbroken gauge symmetry’, in referring to T_c , we mean simply the subgroup of \tilde{T} devoid of dynamical content; the gauge group of the constrained theory is, in both the massless and massive cases, the group $T = \tilde{T}/U(1)$, although, for the massive case, only a polarization subgroup T_p can be consistently imposed as a constraint. This is also the case of the Virasoro algebra (12) in string theory, where the appearance of central terms does not spoil gauge invariance

but forces us to impose half of the Virasoro operators only (the positive modes $\hat{L}_{n \geq 0}$) as constraints.

Thus, the ‘spontaneous breakdown’ of the gauge symmetry group T manifests through non-trivial transformations of the phase ζ of the wavefunctional Ψ under the action of T , leading to the appearance of new ‘internal’ field degrees of freedom which modify the ‘field mass content’ of some vector potentials A , depending on the choice of mass-matrix elements $\lambda^i = -2 \operatorname{tr}(T^i \lambda)$. This situation recalls the important physical implications of *geometric phases* (namely, Berry’s phase) in quantum mechanics, the case discussed in the present paper being a particular one. In other words, the presence of mass is detected by the wavefunctional Ψ in its ‘gauge excursions’ through the configuration space, as happens with the presence of monopoles (see [15, 16] for a discussion on the emergence of gauge structures—the ‘ H -connection’—and generalized spin when quantizing on a coset space G/H). Also, the zeros (critical values) of the mass-matrix elements λ^i correspond to different phases of the physical system characterized by the corresponding unbroken gauge symmetry T_c ; thus, the system can undergo ‘spontaneous’ phase transitions between different phases corresponding to non-equivalent fibrations \tilde{T} of T by $U(1)$ (i.e. different choices of characteristic subgroups T_c of \tilde{T}).

Open questions remain about what happens when a ‘true’ cocycle ξ_3 exists; for example, we can find non-trivial central extensions \tilde{T} of $T = \operatorname{Map}(S^1, T)$ by $U(1)$ (Kac–Moody groups) in one compact spatial dimension, deformations which correspond to anomalous situations in the standard (canonical) approach to quantization of gauge theories. This fact makes the quantization of ‘massive’ Yang–Mills fields (in this scheme) not so trivial, even in one spatial dimension. Also, it would be worth exploring the richness of the case $T = SU(\infty)$ (infinite number of colours), the Lie algebra of which is related to the (infinite-dimensional) Lie algebra of area-preserving diffeomorphisms of the sphere $SDiff(S^2)$ (see [20] and references therein). In general, the cohomological richness, i.e. the number of inequivalent central (pseudo-)extensions, of $T = \operatorname{Map}(M, T)$ depends on the topology of M . Also, as usually happens with central charges, a quantization of the mass parameters $m_c \sim (n)^{1/3}$, $n = 1, 2, 3, \dots$ could arise from the compact character of the involved manifolds.

Another question that deserves further study is, of course, the physical implications that this new point of view carries along.

Appendix. A (0 + 1)D analogy

This appendix is intended to clarify ideas by providing a simple, but illustrative, quantum mechanical analogy which contains most of the essential elements exposed in the paper. Indeed, a $SU(2)$ gauge invariant Yang–Mills theory in (0 + 1)D may eventually be related to a spinning particle with constraints (zero total angular momentum) inside the present GAQ framework.

Let us denote by $A \equiv r \begin{pmatrix} A_0 & A_+ \\ A_- & -A_0 \end{pmatrix}$, $A_0 \equiv A_3$, $A_{\pm} \equiv A_1 \pm iA_2$ the $su(2)$ -valued vector potentials, and let us choose the following set of coordinates:

$$e^{i\varphi_0} \equiv \frac{z_1}{|z_1|} \quad \varphi_+ \equiv \frac{z_2}{z_1} \quad \varphi_- \equiv \frac{z_2^*}{z_1^*} \quad e^{i\varphi_0} \in S^1 \quad \varphi_+, \varphi_- \in S^2 \quad (\text{A1})$$

for the gauge group

$$SU(2) \equiv \left\{ U = \begin{pmatrix} z_1 & z_2 \\ -z_2^* & z_1^* \end{pmatrix}, z_i, z_i^* \in C / \det(U) = |z_1|^2 + |z_2|^2 = 1 \right\}. \quad (\text{A2})$$

Let us also work in an holomorphic picture and define $Q \equiv \frac{1}{\sqrt{2r}}(A+iE)$ and $\bar{Q} \equiv \frac{1}{\sqrt{2r}}(A-iE)$. The adjoint action of the gauge group on the vector potential A and the electric field E can be written explicitly as

$$UQU^{-1} = \frac{1}{1 + \varphi_+ \varphi_-} \begin{pmatrix} e^{i\varphi_0} & \varphi_+ e^{i\varphi_0} \\ -\varphi_- e^{-i\varphi_0} & e^{-i\varphi_0} \end{pmatrix} \begin{pmatrix} Q_0 & Q_+ \\ Q_- & -Q_0 \end{pmatrix} \begin{pmatrix} e^{-i\varphi_0} & -\varphi_+ e^{i\varphi_0} \\ \varphi_- e^{-i\varphi_0} & e^{i\varphi_0} \end{pmatrix} \quad (\text{A3})$$

and the centrally extended group law (10) now adopts the form

$$\begin{aligned} U'' &= U'U \\ Q'' &= Q + U^{-1}Q'U \\ \bar{Q}'' &= \bar{Q} + U^{-1}\bar{Q}'U \\ \zeta'' &= \zeta'\zeta \exp \frac{1}{4} \text{tr} \left[(Q \quad \bar{Q}) S \begin{pmatrix} U^{-1}Q'U \\ U^{-1}\bar{Q}'U \end{pmatrix} \right] \exp 2i\lambda(\varphi_0'' - \varphi_0' - \varphi_0) \end{aligned} \quad (\text{A4})$$

where we miss the mixed cocycle ξ_2 because we are working in zero spatial dimensions (we are restricting ourselves to a ‘single point’). We are also keeping only the (relevant) linear term $\lambda\varphi_0$ in the expansion of $\text{tr}[\lambda\sigma_3 \log U]$ (σ_3 is the standard Pauli matrix). The left- and right-invariant vector fields are explicitly

$$\begin{aligned} \tilde{X}_\zeta^L &= \tilde{X}_\zeta^R = \zeta \frac{\partial}{\partial \zeta} \\ \tilde{X}_Q^L &= \frac{\partial}{\partial Q} + \frac{1}{2} \bar{Q} \zeta \frac{\partial}{\partial \zeta} & \tilde{X}_{\bar{Q}}^L &= \frac{\partial}{\partial \bar{Q}} - \frac{1}{2} Q \zeta \frac{\partial}{\partial \zeta} \\ \tilde{X}_{\varphi_0}^L &= \frac{\partial}{\partial \varphi_0} - 2i\varphi_+ \frac{\partial}{\partial \varphi_+} + 2i\varphi_- \frac{\partial}{\partial \varphi_-} - 2 \left(Q \times \frac{\partial}{\partial Q} \right)_0 - 2 \left(\bar{Q} \times \frac{\partial}{\partial \bar{Q}} \right)_0 \\ \tilde{X}_{\varphi_+}^L &= \frac{-i}{2} \varphi_- \frac{\partial}{\partial \varphi_0} + \frac{\partial}{\partial \varphi_+} + \varphi_-^2 \frac{\partial}{\partial \varphi_-} + i \left(Q \times \frac{\partial}{\partial Q} \right)_- + \left(\bar{Q} \times \frac{\partial}{\partial \bar{Q}} \right)_- + \lambda \varphi_- \zeta \frac{\partial}{\partial \zeta} \\ \tilde{X}_{\varphi_-}^L &= \frac{i}{2} \varphi_+ \frac{\partial}{\partial \varphi_0} + \varphi_+^2 \frac{\partial}{\partial \varphi_+} + \frac{\partial}{\partial \varphi_-} - i \left(Q \times \frac{\partial}{\partial Q} \right)_+ - \left(\bar{Q} \times \frac{\partial}{\partial \bar{Q}} \right)_+ - \lambda \varphi_+ \zeta \frac{\partial}{\partial \zeta} \\ \tilde{X}_Q^R &= U \frac{\partial}{\partial Q} U^{-1} - \frac{1}{2} U \bar{Q} U^{-1} \zeta \frac{\partial}{\partial \zeta} \\ \tilde{X}_{\bar{Q}}^R &= U \frac{\partial}{\partial \bar{Q}} U^{-1} + \frac{1}{2} U Q U^{-1} \zeta \frac{\partial}{\partial \zeta} \\ \tilde{X}_{\varphi_0}^R &= \frac{\partial}{\partial \varphi_0} \\ \tilde{X}_{\varphi_+}^R &= \frac{i}{2} e^{-2i\varphi_0} \varphi_- \frac{\partial}{\partial \varphi_0} + e^{-2i\varphi_0} (1 + \varphi_+ \varphi_-) \frac{\partial}{\partial \varphi_+} - \lambda e^{-2i\varphi_0} \varphi_- \zeta \frac{\partial}{\partial \zeta} \\ \tilde{X}_{\varphi_-}^R &= -\frac{i}{2} e^{2i\varphi_0} \varphi_+ \frac{\partial}{\partial \varphi_0} + e^{2i\varphi_0} (1 + \varphi_+ \varphi_-) \frac{\partial}{\partial \varphi_-} + \lambda e^{2i\varphi_0} \varphi_+ \zeta \frac{\partial}{\partial \zeta} \end{aligned} \quad (\text{A5})$$

where $(A \times B)_a \equiv \epsilon^{abc} A_b B_c$, $\epsilon^{123} = 1$, denotes the vector product and $(A \times B)_\pm \equiv$

$(A \times B)_1 \pm i(A \times B)_2$. The commutators (13) now adopt the following form:

$$\begin{aligned}
[\tilde{X}_{Q_+}^R, \tilde{X}_{Q_-}^R] &= -i\Xi & [\tilde{X}_{Q_-}^R, \tilde{X}_{Q_+}^R] &= -i\Xi & [\tilde{X}_{Q_0}^R, \tilde{X}_{Q_0}^R] &= -i\Xi \\
[\tilde{X}_{\varphi_0}^R, \tilde{X}_{\varphi_+}^R] &= -2i\tilde{X}_{\varphi_+}^R & [\tilde{X}_{\varphi_0}^R, \tilde{X}_{\varphi_-}^R] &= 2i\tilde{X}_{\varphi_-}^R & [\tilde{X}_{\varphi_+}^R, \tilde{X}_{\varphi_-}^R] &= -i\tilde{X}_{\varphi_0}^R - 2i\lambda\Xi \\
[\tilde{X}_{\varphi_0}^R, \tilde{X}_{Q_0}^R] &= 0 & [\tilde{X}_{\varphi_0}^R, \tilde{X}_{Q_+}^R] &= -2i\tilde{X}_{Q_+}^R & [\tilde{X}_{\varphi_0}^R, \tilde{X}_{Q_-}^R] &= 2i\tilde{X}_{Q_-}^R \\
[\tilde{X}_{\varphi_+}^R, \tilde{X}_{Q_0}^R] &= 2\tilde{X}_{Q_+}^R & [\tilde{X}_{\varphi_+}^R, \tilde{X}_{Q_+}^R] &= 0 & [\tilde{X}_{\varphi_+}^R, \tilde{X}_{Q_-}^R] &= -\tilde{X}_{Q_0}^R \\
[\tilde{X}_{\varphi_-}^R, \tilde{X}_{Q_0}^R] &= 2\tilde{X}_{Q_-}^R & [\tilde{X}_{\varphi_-}^R, \tilde{X}_{Q_+}^R] &= -\tilde{X}_{Q_0}^R & [\tilde{X}_{\varphi_-}^R, \tilde{X}_{Q_-}^R] &= 0
\end{aligned} \tag{A6}$$

where we have omitted the commutators $[\tilde{X}_{\varphi_0, \varphi_+, \varphi_-}^R, \tilde{X}_{Q_j}^R]$, which have the same form as for the $\tilde{X}_{Q_j}^R$ vector fields. One can also work out easily the *quantization 1-form* (11), which is

$$\Theta = \frac{i}{4} \text{tr}[\bar{D}dD - Dd\bar{D}] + \overbrace{\frac{i\lambda}{1 + \chi_+\chi_-}(\chi_-d\chi_+ - \chi_+d\chi_-) - i\zeta^{-1}d\zeta}^{\Theta_{SU(2)}} \tag{A7}$$

where we denote $D \equiv UQU^{-1}$, $\bar{D} \equiv U\bar{Q}U^{-1}$, $\chi_+ \equiv e^{2i\varphi_0}\varphi_+$, $\chi_- \equiv e^{-2i\varphi_0}\varphi_-$. The *characteristic subalgebra* is just

$$\mathcal{G}_c = \langle \tilde{X}_{\varphi_0}^L \rangle \tag{A8}$$

and a full-polarization subalgebra exists for arbitrary (non-zero) λ , which is

$$\mathcal{G}_p = \langle \tilde{X}_{\varphi_0}^L, \tilde{X}_{\varphi_+}^L, \tilde{X}_{Q_-}^L \rangle. \tag{A9}$$

The general solution to the polarization equations $\tilde{X}^L\Psi = 0$, $\tilde{X}^L \in \mathcal{G}_p$ leads to a Hilbert space $\mathcal{H}^{(\lambda)}(\tilde{G})$ of wavefunctions of the form

$$\Psi^{(\lambda)}(\zeta, \varphi_0, \varphi_+, \varphi_-, Q, \bar{Q}) = \zeta(1 + \varphi_+\varphi_-)^{-\lambda} e^{-\frac{i}{4}\text{tr}[\bar{Q}Q]}\Phi(\chi_-, \bar{D}) \tag{A10}$$

where Φ is an arbitrary power series in the variables χ_- and \bar{D} . A scalar product can be given through the invariant integration volume of \tilde{G} :

$$\mu(\tilde{g}) = \frac{-i}{(1 + \varphi_+\varphi_-)^2} \left[\prod_{a=1}^3 dA_a \wedge dE_a \right] \wedge [d\text{Re}(\varphi_+) \wedge d\text{Im}(\varphi_+) \wedge d\varphi_0] \wedge \zeta^{-1}d\zeta. \tag{A11}$$

The phase space related to this quantum system is clearly $\mathfrak{R}^3 \times \mathfrak{R}^3 \times S^2$, as can be inferred from the symplectic form $\omega \equiv d\Theta/\mathcal{G}_c$ (the quotient of $d\Theta$ by the trajectories generated by left-invariant vector fields in (A8)), the parameter λ being the analogous of the spin s .

The constraint equations

$$\begin{aligned}
\tilde{X}_{\varphi_0}^R \Psi_{\text{phys}}^{(\lambda)} = 0 &\Rightarrow \chi_- \frac{\partial \Phi}{\partial \chi_-} + i \left(\bar{D} \times \frac{\partial \Phi}{\partial \bar{D}} \right)_0 = 0 \\
\tilde{X}_{\varphi_-}^R \Psi_{\text{phys}}^{(\lambda)} = 0 &\Rightarrow \frac{\partial \Phi}{\partial \chi_-} + i \left(\bar{D} \times \frac{\partial \Phi}{\partial \bar{D}} \right)_+ = 0
\end{aligned} \tag{A12}$$

keep two degrees of freedom out of the original $4 = 3 + 1$ corresponding to this ‘spinning-like particle’. They can be interpreted as zero total angular-momentum (orbital + spin) conditions. Note that the condition

$$\tilde{X}_{\varphi_+}^R \Psi_{\text{phys}}^{(\lambda)} = 0 \Rightarrow -2\lambda\chi_- \Phi + \chi_-^2 \frac{\partial \Phi}{\partial \chi_-} - i \left(\bar{D} \times \frac{\partial \Phi}{\partial \bar{D}} \right)_- = 0 \tag{A13}$$

is incompatible with both conditions in (A12), which correspond to a polarization subalgebra $\mathcal{T}_p = \langle \tilde{X}_{\varphi_0}^R, \tilde{X}_{\varphi_-}^R \rangle$ of \mathcal{T} , unless $\lambda = 0$. For $\lambda = 0$, the characteristic subalgebra (A8) contains the whole $su(2)$ subalgebra, Φ does no longer depend on χ_- , and the constraint conditions (A12) and (A13) keep a ‘radial’ dependence of Φ on $R^2 \equiv \frac{1}{2} \text{tr}[D\bar{D}]$ (‘s-waves’), as corresponds to a spin-zero particle with zero orbital angular momentum.

The good operators are

$$\tilde{Q}_{\text{good}} = (\text{tr}[\hat{Q}^2], \text{tr}[\hat{Q}^2], \text{tr}[\hat{Q}\hat{Q}], \hat{C}, \Xi) \quad (\text{A14})$$

where $\hat{C} = (\tilde{X}_{\varphi_0}^R + 2\lambda\Xi)^2 + 2\tilde{X}_{\varphi_+}^R \tilde{X}_{\varphi_-}^R + 2\tilde{X}_{\varphi_-}^R \tilde{X}_{\varphi_+}^R$ is the Casimir operator of $SU(2)$.

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Note added. We thank the referee who brought [16] to our attention. It contains a nice summary of a generalization of Dirac’s method of quantization of constrained systems by using Mackey’s theory of inequivalent quantizations on a coset space G/H . The reader may find it interesting to compare GAQ and this generalized version of Dirac’s approach by using the simple example given in the appendix. Both approaches share the idea of ‘emergence of new (internal) degrees of freedom, existence of inequivalent quantizations and the appearance of an H -connection’ when constraints become second class. In fact, the role played by the characteristic subgroup G_c in GAQ is similar to the role played by H when quantizing on a coset space G/H ; also, the piece $\Theta_{SU(2)} = \frac{\partial}{\partial g^j} \xi(g^i | g)_{|g^i=g^{-1}} dg^j$ of the general connection form (11) in equation (A7) corresponds to a ‘ $SU(2)$ -connection’. However, an important distinction has to be made between both schemes of constrained quantization. The counterpart of the constraint equations (right conditions)

$$R_h \Psi(g) = \Psi(g * h) \equiv \Psi(g) \quad \forall h \in H \quad g \in G$$

in the generalized Dirac’s approach to the constrained quantization on G/H , are the polarization equations of GAQ (see the paragraph before equation (14)) which, in contrast, are intended to *reduce* the (left) regular representation $L_{g'} \Psi(g) = \Psi(g' * g)$ of G on wavefunctions Ψ . In brief, GAQ further ‘constrains’ wavefunctions by means of *extra* T -equivariance conditions (8) like (A12), which are not present in the generalized Dirac’s scheme of quantization. Also, T -equivariance conditions in GAQ force the definition of *good operators* (observables), concept which is absent in the other scheme.

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